

# FTA

FEDERAL TRANSIT ADMINISTRATION

## FTA and Region III News and Updates



Pennsylvania Public Transportation Association  
Spring Conference  
April 25, 2018



U.S. Department of Transportation  
Federal Transit Administration

# Overview

- **FTA Updates**

*Terry Garcia Crews, Regional Administrator*

- **Transit Asset Management**

*Anne Marie Resnick, Financial Analyst*

- **Comprehensive Reviews**

*Tony Cho, Director of Program Management & Oversight*

- **Civil Rights Reminders**

*Lynn Bailey, Regional Civil Rights Officer*

- **Grant Program Reminders**

*Kathleen Zubrzycki, Director of Planning & Program Development*

# FTA Updates

- FTA Leadership Updates
- FTA Priorities
  - FTA Safety Oversight
  - Rebuilding Our Infrastructure
  - Preparing for the Future
- Pennsylvania Highlights

# FTA Leadership

- Changes to Agency Leadership
  - Nomination of Thelma Drake to be FTA Administrator
  - Deputy Administrator K. Jane Williams
  - Chief Counsel Arjun Garg

# Status of FY2018 Funds

- In March 2018, FTA published the partial year FY2018 Apportionment Tables.
- Annual Appropriations signed by President Trump on March 23, 2018.
- Full year funding and the *Federal Register* notice discussing FTA policy priorities will be available this Spring.

# FTA Priorities

## Safety: FTA's #1 Priority

- State Safety Oversight Agency Certification
  - Critical Date: April 15, 2019
- Status of Safety Rules

# FTA Priorities

## Rebuilding Our Infrastructure

- FTA seeks to address the \$90 Billion maintenance backlog
- Continued Investment in Bus Systems
- Federal dollars will incentivize investments by state, local, and private partners

# FTA Priorities

## Preparing for the Future

- Integration of Automated Vehicles
- Mobility on Demand



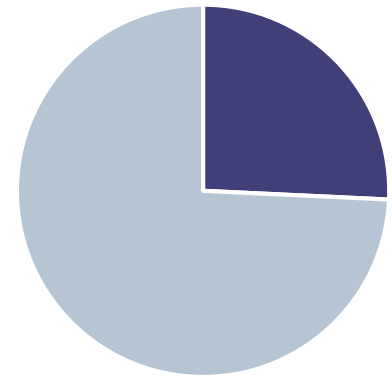
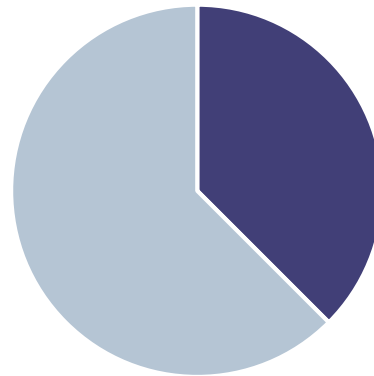
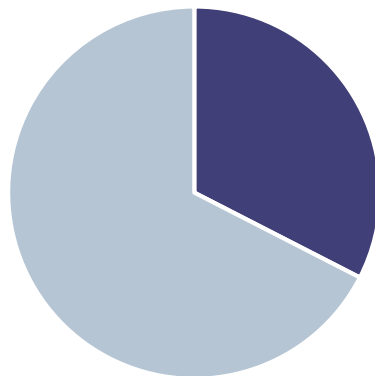


# Pennsylvania Highlights

- Statewide Shared-Ride Scheduling Software
- Centre Area Transportation Authority Expanded Facility
- 2017 Bus and Bus Facilities Project Selections
- “Achievement of Excellence”

# Region III Award Activity

FY2017 Awards			
	# Awards	# Recipients	\$ Obligated
Region III Total	126	48	\$1.6B
Pennsylvania Total	41	18	\$412M



■ Pennsylvania

■ Remainder of Region III

# FTA

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## Transit Asset Management

Anne Marie Resnick  
Financial Analyst



U.S. Department of Transportation  
Federal Transit Administration

# Key Features of the TAM Rule

- Final rule (49 USC 625; published July 2016) provides a foundation for addressing the estimated \$90B State of Good Repair backlog
  - Simple, Scalable, Structured, and Standard
- Focuses on measuring and monitoring asset performance and condition
- Minimizes burden on smaller transit providers
- Compliant TAM Plans are required -- **Oct. 1, 2018**

# Timeline and Deliverables Cycle

Initial due dates if your Fiscal Year runs			Activity	Update Cycle
July-June*	Oct- Sept*	Jan- Dec*		
Jan 2017			Initial SGR Targets (Not reported to FTA)	Annually
Oct 2018			TAM Plan	At least Every 4 years
Oct 2018	Jan 2019	Apr 2019	<i>NTD Asset Inventory</i> <i>NTD Performance Results*</i> <i>SGR Targets</i>	Annually submitted to NTD
Oct 2019	Jan 2020	Apr 2020	<i>Narrative Report</i> <i>NTD Asset Inventory</i> <i>NTD Performance Results</i> <i>SGR targets</i> <i>Narrative Report</i>	Annually submitted to NTD

# Two Types of Deliverables

## Process (not collected by FTA)

1. Compliant TAM Plan
  - Group
  - Individual Tier I
  - Individual Tier II
2. Recordkeeping & Coordination with Planning
3. Certification

## Reports (submitted to NTD)

1. Data Report
  - Performance Targets
  - Performance Status
  - NTD Asset Inventory
2. Narrative report



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# TAM-Related Planning Requirements

- The MPO's initial TAM targets are set within 180 days of receiving targets from the providers.
  - The regional targets are coordinated with each provider, but are not required to be the same.
  - The MPO revisits their targets to coincide with the schedule for updates to the MPO's planning products.

For more details, see the FAQ for an MPO's TAM responsibilities:  
[www.transit.dot.gov/regulations-andguidance/transportation-planning/performance-based-planning-resources](http://www.transit.dot.gov/regulations-andguidance/transportation-planning/performance-based-planning-resources)

# What to Expect after 10/1/2018

- Certifications & Assurances include TAM requirements
- Oversight begins, first Comprehensive Reviews in FY 2019
- First mandatory reporting to NTD:
  - Targets
  - NTD Asset Inventories
  - 25% of Facility Condition Assessments
- Planning product changes to add references to TAM targets
- Required references in grant application for 5337 to TAM Plan



# Upcoming Events

NTI Introduction to TAM (Tier I Agencies)	May 30 – June 1, 2018	Los Angeles, CA
Peer Exchange – Large Bus	Late May early June	Chicago, IL
2018 FTA TAM Roundtable	<b>July 15, 2018</b>	San Diego, CA
TRB Conference on Asset Management	July 16 – 17, 2018	San Diego, CA
October 2018 – Final Rule in Effect	October 2018	Nationwide
NTI TAM Implementation for Tier II Providers and Sponsors	October 2, 2018	Indianapolis, IN

# Training Courses

- [Transit Asset Management Implementation for Tier II Providers and Sponsors](#) (NTI)
- [Introduction to Transit Asset Management](#) (Tier I Agencies) (NTI)
- [Calculating Performance Measures and Setting Targets](#) (TSI)
- [Asset Management Guide for Small Providers](#) (FTA)
- TAM Executives Training (Pilot/Focus group at TRB Asset Management Conference, July 2018) (Volpe Center)

# Online Resources

- [Website Tutorial on YouTube](#)
- Frequently Asked Questions (FAQs) (Updated Quarterly)
- [Infrastructure](#) and [Facility](#) Performance Measure Reporting Guidebooks
- Updated [Transit Asset Management Guide](#)
- Performance Measures Factsheet
- Narrative Report example
- [Default Useful Life Benchmark \(ULB\) cheat sheet](#)
- TAMNews newsletters (quarterly)
- Presentations from conferences
- [Peer library](#)
- Tribal TAM resources
- Group Plan Workbook
- Decision Support Tool Case Study

Visit the TAM website:

[www.transit.dot.gov/TAM](http://www.transit.dot.gov/TAM)



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# Tools and Templates

- [TAM Maturity Self-Assessment tool](#)
- Compliance [checklists](#)
- Enhanced [TAM Plan Template](#)



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# Webinars

- Informational Webinars: FTA led explanation of regulations and guidance
  - [Final Rule](#)
  - [Final Rule - Small Systems Focus](#)
  - [Final TAM Performance Measure Guidebooks](#)
  - [NTD Asset Inventory Module](#)
  - [Condition Assessment and Guideway Performance Restriction Guidebooks](#)
  - [TAM Refresher](#)
  - TAM Refresher II with APTA
    - Planned for April 2018



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# Webinars

- TAM Webinar Series: Industry led peer exchange
  - [Decision Support Tools](#)
  - [Internal Coordination](#)
  - [Decision Processes for Asset Condition Assessments](#)
  - [Building an Asset Inventory](#)
  - Major Capital Replacements
- Other Webinars:
  - [TAM Small Systems and Group Plan Sponsor template](#) (NTI)



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# Peer Exchanges

- Previous SGR Roundtable presentations and summaries are posted [online](#)
- TAM Plan Peer Exchange (June 2018)
  - Focuses on Enhanced TAM Plan review for bus-only operators
- 2018 Roundtable: July 15, 2018 in San Diego, CA
  - In conjunction with TRB's 12th National Asset Management Conference



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# Regional POCs

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# FTA

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## Triennial Review Overview

**Tony Cho**  
Director, Program Management  
& Oversight



U.S. Department of Transportation  
Federal Transit Administration

# Oversight Activities

- Comprehensive Program Reviews
  - Triennial Review
  - State Management Review
  - Combined Triennial/State Management Review
- Specialized Program Reviews
- Project Reviews

# Purpose of Comprehensive Reviews

- Required in legislation for 5307 Recipients
- Fulfills FTA's fiduciary responsibility to prevent and identify improper payments and to ensure every dollar counts toward improving public transit
- Confirms and promotes recipient compliance with program requirements
- Helps address Congressional and public questions about the use of federal funds
- Strengthens the capacity of FTA-funded recipients to improve public transit for America's communities

# Process Changes

- All FTA “grantees” are now referred to as “recipients”
- All FTA “grants” are now referred to as “awards”
- 2018 Review Guide is the source for workshop instruction, recipient reference, and review documentation
  - Text of the requirement is included with each question
- Recipient Information Request (RIR), formerly known as the Grantee Information Request (GIR)
  - RIR includes a listing of documents and a few questions to provide reviewers information on a recipient’s transit program

# What Is Included in the Information Request (RIR)

Redesigned to significantly reduce recipient's level of effort required for completion

## **Recipient Profile Information**

- Basic information about the recipient that FTA uses to better understand the institutional and operating structure, and to help determine applicability of oversight requirements

## **Recipient Information Request**

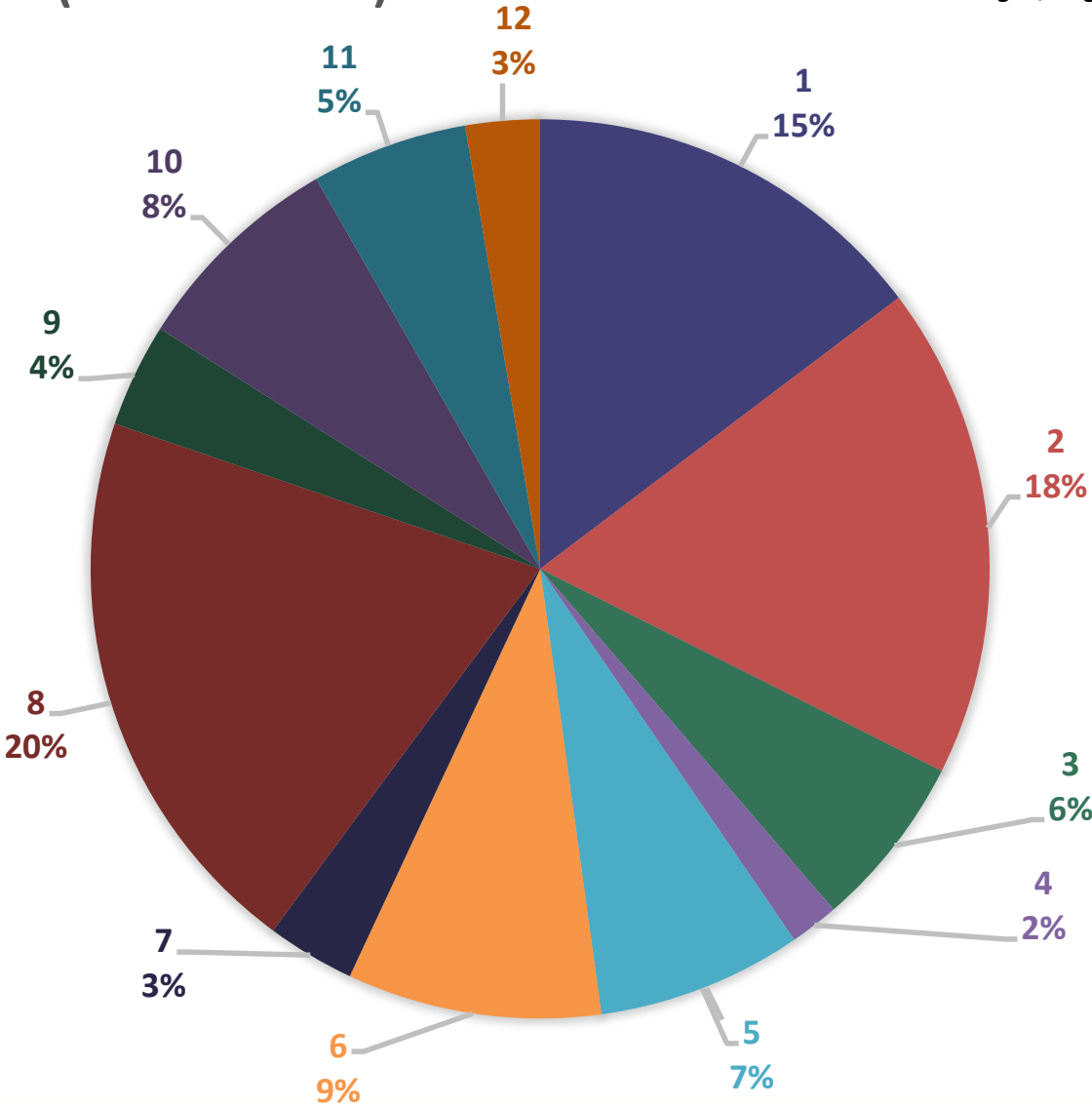
- A list of documents and answers to specific questions needed to assess a recipient's compliance
- Reviewers will provide targeted questions and follow-up items

## **Representative Site Visit Schedule**

- Draft site visit schedule that lists the areas to be discussed and the approximate time for discussion (final schedule to be provided before the site visit)

# SUMMARY OF FINDINGS BY REVIEW AREA FY2017 (ALL REGIONS)

*Other: Charter Bus, School Bus, Public Comment Process for Fare and Service Changes, Legal, Half Fare, Special/Other*



# Workshops

- FTA holds annual workshops to help prepare grantees for their reviews
- The workshops are for you!
  - Learn how the reviews have changed since your last review
  - Ask questions, talk with FTA and reviewers

# Additional Resources

- Prior Comprehensive Reviews
- FTA website
- FTA updates
- FTA Regional Office
- Peers
- Co-workers
- National RTAP
- MTAP/AASHTO
- CTAA/APTA
- TRB/NCHRP
- FTA Technical Assistance

Email updates available at [public.govdelivery.com](https://public.govdelivery.com)



# FTA

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## Civil Rights Reminders

**Lynn Bailey**  
Regional Civil Rights Officer



U.S. Department of Transportation  
Federal Transit Administration

# ADA Circular

- In October 2015, FTA issued its ADA Circular.
- It does not cancel, alter, amend or supersede any existing directive (49 CFR Parts 27, 37, 38 & 39).

## FTA CIRCULAR 4710.1

“AMERICANS WITH DISABILITIES ACT  
GUIDANCE”

# ADA Circular

Primarily addresses the following types of public transit services:

- Fixed route bus
- Complementary paratransit
- Demand responsive
- Rail (rapid, light, and commuter)
- Water transportation/passenger ferries

❖ *Common ADA Findings: monitoring capacity constraints; insufficient complaint process*

# EEO Circular 4704.1 Revisions

- Final Circular, effective Oct. 31, 2016
  - \$ Threshold unchanged - Requests or receives capital or operating assistance in excess of \$1 million in the previous Federal fiscal year, or requests or receives planning assistance in excess of \$250,000 in the previous Federal fiscal year.
  - 4-year (quadrennial) submission cycle for program
  - Increases employee threshold from 50 to 100 employees for FTA submittal
  - 50 to 99 transit-related employees maintain abbreviated program due to FTA upon request
- ❖ *Common EEO findings: failure to assess and monitor employee practices*

# DBE Regulation: 49 CFR Part 26

- FTA recipients receiving planning, capital and/or operating assistance who will be award prime contracts (excluding transit vehicle purchases) the cumulative total value of which exceeds \$250,000 in FTA funds in a Federal Fiscal year;
- **Purpose:** Create a level playing field and provide contracting opportunities to small minority and women-owned firms;
- DBE Program Plan does not expire; Significant updates must be submitted to FTA for review (i.e. new DBELO, updates to contracting monitoring and enforcement, SBE, etc.)
- DBE Goal Methodology – due on a triennial basis (Aug 1<sup>st</sup>)
  - ❖ *Common DBE findings: Failure to update DBE Program; failure to complete DBE semi-annual reporting; failure to submit DBE goal methodology; failure to report TVM purchases*

# Transit Vehicle Manufacturers “TVM”

Only those transit vehicle manufacturers listed on **FTA’s certified list of Transit Vehicle Manufacturers**, or that have submitted a goal methodology to FTA that have been approved or has not been disapproved, at the time of solicitation are eligible to bid.

- 49 CFR Part 26.49(a)(1)

# Notification of Award for Transit Vehicles

Within **30 days** of making an award to purchase transit vehicles, all Direct Recipients must complete the FTA online TVM submission form, stating the **name** of the successful bidder and the **total dollar value** of the contract.

The screenshot shows the Federal Transit Administration website. The main content area is titled "Eligible Transit Vehicle Manufacturers". The text states: "DBE regulations require FTA recipients to report transit vehicle procurement awards (49 CFR 26.49). Since November 2014, FTA grantees have been required to submit, within 30 days of making an award, the name of the successful bidder and the total dollar value of the contract. Only eligible TVMs may bid on FTA-assisted transit vehicle procurements. Transit vehicle manufacturers that have submitted a goal methodology to FTA that has been approved, or has not been disapproved, at the time of solicitation are eligible to bid (49 CFR 26.49(a)(1)). The following is a list of eligible TVMs:". The "Related Links" section includes "Transit Vehicle Manufacturers (TVMs)" and "Transit Vehicle Award Reporting Form". The "Contact Us" section includes "Office of Civil Rights" and "Submit Feedback".

# Resources

## **Civil Rights Regulations and Guidance - Training Resources and Webinars**

<https://www.transit.dot.gov/regulations-and-guidance/civil-rights-ada/civil-rights-ada>

## **Eligible TVM Listing**

<https://www.transit.dot.gov/regulations-and-guidance/civil-rights-ada/eligible-tvms-list>

## **Civil Rights Overview/What's New**

<https://www.transit.dot.gov/regulations-and-guidance/civil-rights-ada/civil-rightsada>

## **ADA**

<https://www.transit.dot.gov/ada>

## **DBE**

<https://www.transit.dot.gov/regulations-and-guidance/civil-rights-ada/disadvantaged-business-enterprise>

## **Title VI**

<https://www.transit.dot.gov/regulations-and-guidance/civil-rights-ada/title-vi-civil-rights-act-1964>

## **EEO**

<https://www.transit.dot.gov/regulations-and-guidance/civil-rights-ada/equal-employment-opportunity>



# FTA

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## Grant Program Reminders

**Kathleen Zubrzycki**

Director, Planning & Program Development



U.S. Department of Transportation  
Federal Transit Administration

# Applying for an Amendment in TrAMS

- Recipients are encouraged to discuss any amendment with their Community Planner or Transportation Program Specialist prior to entering in TrAMS.
- Amendments that also move funding from one project to another may require an additional step prior to entering the amendment.

# Applying for Flex Funds in TrAMS

## Major Steps:

- 1) MPO programs Flex Funds in TIP
- 2) Recipient develops new application in TrAMS
- 3) Recipient requests PennDOT to initiate Flex transfer
- 4) FTA assesses eligibility of Project and approves Flex transfer

# Application Delete Function in TrAMS

- TrAMS users with the developer and submitter role now have the ability to delete applications that are in progress.

## Warning | Delete Application IL-2018-014-01



Please confirm that this is the application you would like to delete, and that you understand that this application cannot be recovered by TrAMS users or by the TrAMS help desk.

I understand that this application cannot be recovered once deleted

BACK

DELETE

# Grant Review Notification Process

- For all draft grant applications that are ready for initial review, we ask that you utilize the following process:
- Recipients should continue to send an email to your FTA Assigned Community Planner or Transportation Program Specialist letting them know that a **draft grant application** is ready for initial FTA review.
- Email subject should be “*Grant Number - Initial Review Requested.*”
- A copy (cc) should be sent to the following grant review notification email address:

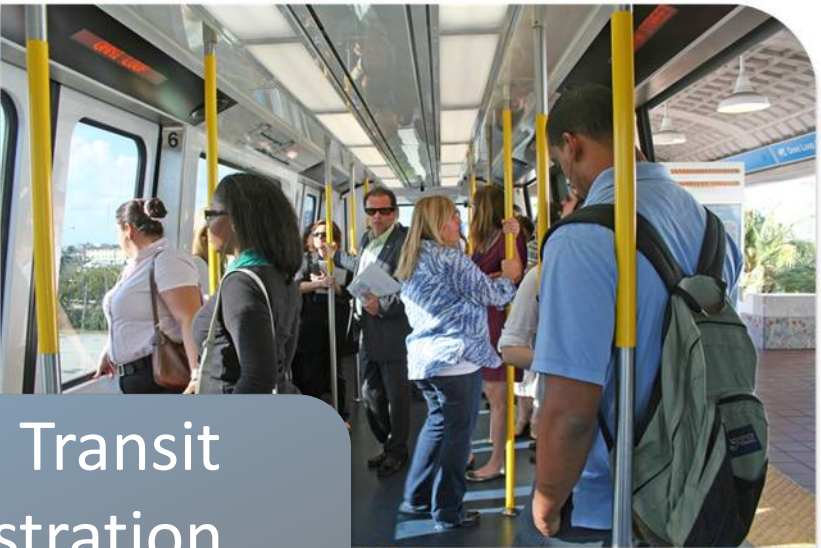
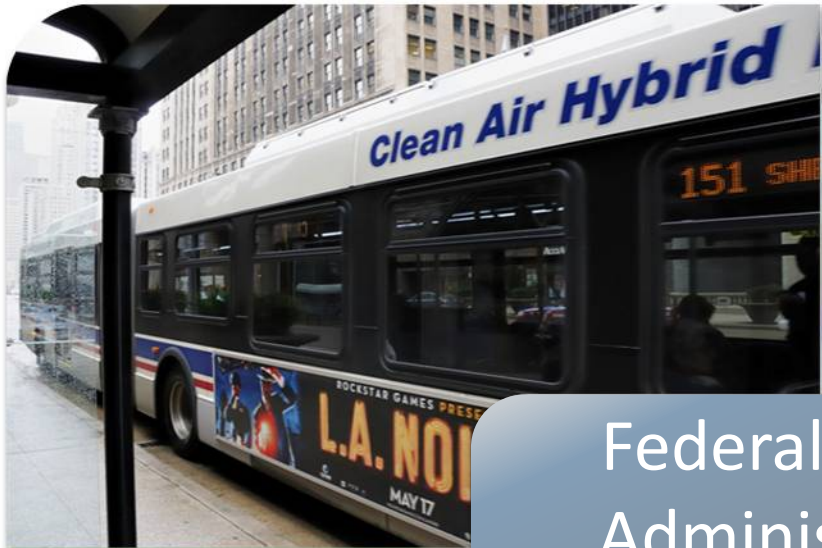
[FTARegion3Grants@dot.gov](mailto:FTARegion3Grants@dot.gov)

# Resources

- Contact the FTA Region III Office  
[Region III Website- Staff Organization](#)
- Contact **TrAMS Help Desk**  
[FTA.TrAMS.Help@dot.gov](mailto:FTA.TrAMS.Help@dot.gov)  
**877.561.7466**
- Go to **TrAMS Online Information**  
[www.fta.dot.gov/TrAMS](http://www.fta.dot.gov/TrAMS)

# Questions?

And don't forget to visit us at the *FTA Chat with Staff* outside the Expo Hall right after lunch today!



Federal Transit  
Administration  
[www.transit.dot.gov](http://www.transit.dot.gov)

